SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).						EMENT OF CHANGES IN BENEFICIAL OWNERSHIP Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940											imber: ed average er respons		3235-0287 0.5
1. Name and Address of Reporting Person <u>KATZMAN DAVID B</u>					2. Issuer Name and Ticker or Trading Symbol <u>SmileDirectClub, Inc.</u> [SDC]										ship of Reporti applicable) Director	ng Perso	n(s) to Is X		ner
(Last) (First) (Middle) C/O SMILEDIRECTCLUB, INC. 414 UNION STREET					3. Date of Earliest Transaction (Month/Day/Year) 01/14/2021									X Officer (give title below) Other (specify below) CEO and Chairman					
(Street) NASHVILLE TN 37219 (City) (State) (Zip)				4	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially O														od					
1. Title of Security (Instr. 3)					insaction th/Day/Year	2A. Dee Executi if any	med on Date,	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Dispos (D) (Instr. 3, 4 and 5)				sed Of 5. Amount of Se Beneficially Ow Following Repo		ned Directored Indirector		ership Form: D) or t (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
							(Month/Day/Year)		v	Amount		(A) or (D)			Transaction(s) (Instr. 3 and 4)				
Class A Common Stock					/14/2021	/2021		Α		431,592(1)		Α	\$	0	528,76	1	D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		4. Trans Code (In	nstr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisa Expiration Date (Month/Day/Yea		e	Underly		e Securi	ity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Numb derivati Securiti Benefic Owned Followin	ve ies ially ng	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercis		Expiration Date	N N		Amou Numb Share			Reporte Transac (Instr. 4	tion(s))	

Explanation of Responses: 1. Comprised of Restricted Stock Units ("RSUs") that represent a contingent right to receive one share of the Issuer's Class A common stock.

Remarks:

/s/ Susan Greenspon Rammelt as Attorney-in-Fact

** Signature of Reporting Person

01/19/2021

Date

OMB APPROVAL

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78f(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY With respect to holdings of and transactions in securities issued by SmileDirectClub, Inc. (the "Company"), the undersigned hereby constitutes 1. prepare, execute in the undersigned's name and on the undersigned's behalf, and submit to the United States Securities and Exchange Commiss: 2. execute for and on behalf of the undersigned, Forms 3, 4, and 5 in accordance with Section 16 of the Securities Exchange Act of 1934, as am 3. do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Fe 4. take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of bene The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever : The undersigned acknowledges that the attorney-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor : This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respect

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 4th day of June, 2020.

[Signature Page to Follow]

Signature: /s/ David Katzman Print Name: David Katzman

[Signature Page to POA]

Schedule A Individuals Appointed as Attorney-in-Fact with Full Power of Substitution and Resubstitution Susan Greenspon Rammelt Mehgan Peetz Eric Greer Sarah Gabriel